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### Practitioner's Docket No. HES 2002-IP-007002U1P1

PATENT

	IN THE UNITED STATES	PATENT AND TRADEMARK OFFICE				
OIPE		THE PROPERTY OFFICE				
	Patent application	•				
AUG 2 3 2004	of					
		Inventor(s)				
TRADEMARY!	for					
TRADEM	Title of invention					
* .	OR					
	in re application of: Larry S. Eoff et al.					
	Application No.: 0 10 /773,632	Group Art Unit: 3677				
	Filed: February 6, 2004	Examiner: unknonw				
	For: Method and Compositions Fo					
	Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))					
	I hereby certify that, on the date shown below, this correspondence is being:					
	MAILING					
	□ deposited with the United States Postal Service     □ Box 1450, Alexandria, VA 22313-1450	ce in an envelope addressed to Commissioner for Patents, P.O.				
		37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10 *			
	with sufficient postage as first class mail.	1				
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ı		IANSMISSION				
l	facsimile transmitted to the Patent and Trademark Office, (703)					
		Sheela Teles				
	2-200V	Signature				

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.6 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Sheila Gibbs

(type or print name of person certifying)

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 1 of 3)

NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.138 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.496. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sant by the international Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [8-3]—page 2 of 3) NOTE: "An action on the merits meens an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner."

Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

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(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 3 of 3)



# PATENT HES 2002-IP-007002U1P1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	s: Larry S. Eoff and Krista L. Keener	)	
		)	Art Unit: 3677
Serial No	.: 10/773,632	)	
Filed:	February 6, 2004	)	Examiner: Unknown
	ETHOD AND COMPOSITIONS FOR	)	

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

#### U.S. PATENTS

- U.S. Patent No. 5,028,271 issued July 2, 1991 to David A. Huddleston et al.
- U.S. Patent No. 4,938,803 issued July 3, 1990 to David A. Huddleston et al.
- U.S. Patent No. 5,134,215 issued July 28, 1992 to David A. Huddleston et al.
- U.S. Patent No. 5,147,964 issued September 15, 1992 to David A. Huddleston et al.
- U.S. Patent No. 6,739,806 B1 issued May 25, 2004 to Michael J. Szymanski et al.
- U.S. Patent No. 6,767,867 B2 issued July 27, 2004 to Jiten Chatterji et al.
- U.S. Patent No. 4,676,317 issued June 30, 1987 to Slaton E. Fry et al.

## **U.S. PATENT APPLICATION PUBLICATIONS**

U.S. Patent Application Publication No. US 2003/0008779 A1 published January 9, 2003 to Shih-Ruey T. Chen et al.

Form PTO-1449 is submitted herewith.

Respectfully submitted,

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Sheet <u>1</u> of <u>1</u>

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)			ATTY. DOCKET NO. 2002-IP-007002U1P1		SERIAL NO. 10/773,632			
			APPLICANT Larry S. Eoff et al.					
			FILING DATE February 6, 2004		GROUP 3677			
			L	J.S. PATENT DOCUMENTS	•			
EXAMINER INITIAL		Document No.	Date	Name	Class	Cubalaga	Dat	ling e if priate
	AA	5,028,271	07/02/91	Huddleston et al.	106	Subclass 720	Appro	priace
	AB	4,938,803	07/03/90	Huddleston et al.	106	719		<del></del>
	AC	5,134,215	07/28/92	Huddleston et al.	527	400		
	AD	5,147,964	09/15/92	Huddleston et al.	527	400	<u> </u>	· · ·
	ΑE	6,739,806 B1	05/25/04	Szymanski et al.	405	267	-	
	AF	6,767,867 B2	07/27/04	Chatterji et al.	507	216	<del> </del>	
	AG	4,676,317	06/30/87	Fry et al.	166	293		
	AH	US 2003/0008779	01/09/03	Chen et al.	507	200		
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	ÄJ							
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			FORE	ZIGN PATENT DOCUMENTS				
		Document No.	Date	Country	Class	Subclass	Trans Yes	lation No
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.